

Hearing Request Form – Companies Listed on Nasdaq Texas

Record Id:

The company should use this form to make both its original hearing request and provide any additional documentation related to this matter, including company submissions, updates to the Hearing Panel or extension requests.

Questions concerning this form should be directed to the Hearings Department at +1 202 912 3160 or via email at hearings@nasdaq.com.

GENERAL COMPANY INFORMATION

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Current Company Status *



Central Index Key (CIK) Code

Please provide any explanatory comments that may help Staff process this form.

Primary Contact

The person listed below will serve as the primary point of contact for this hearing. Nasdaq Texas also recommends that you share access to this form with at least one other company or legal representative by entering their email address on our [i Share Your Form](#) page.

Contact Type *



Use same address as listed above

Name *

Title/Firm *

Address *

Address 2

Address 3

City *

State



Province

Postal/Zip Code

Country *



Phone *

Email *

ATTACH SUPPORTING DOCUMENTS AND FEE PAYMENT INFORMATION

To facilitate the review process, please attach any supporting documents electronically, including confirmation of payment. The Listing Center will allow you to attach documents and submit them to Nasdaq Texas at any time during the review process. Documents should be provided using one of the following formats: PDF (Preferred), and MS Word.

Click the "Browse" button below to upload documents from your computer.

No files attached

Fee Payment Information

Listing Rule 5815(a) requires the issuer to submit a fee of \$20,000 to cover the costs of the hearing. The fee is non-refundable and due at the time the request is made.

Fees may be paid by wire transfer. Please follow these [instructions](#) for wire transfer payments. **Nasdaq Texas is not responsible for costs associated with the wire transfer of hearing fees. Please be sure to include a sufficient amount to cover any applicable bank fees and do not indicate that the beneficiary will pay costs.**

Please provide the following information, as applicable:

Hearing Request Type *

Oral



Remitter Name (if different than name of the compa...

Wire Confirmation Number *

AFFIRMATION

User Id

Name *

Title/Firm *

Date *



Initials *

I have been authorized by the Company and have the legal authority to provide information on the Company's behalf; to the best of my knowledge and belief, the information provided is true and correct as of this date; and I will promptly notify Nasdaq Texas of any material changes. I understand that the Company has a continuing duty to update Nasdaq Texas whenever there is an addition to or change in information previously furnished.

Form Created By: on ; Form Last Updated By on , Form Owned By:

* Indicates a field required for submission.